

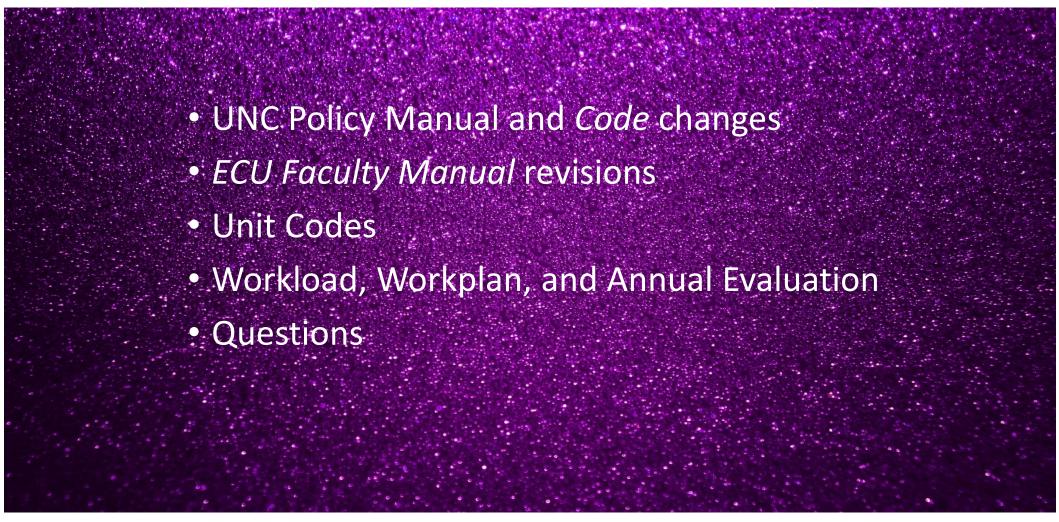
ECU Faculty Senate

UNC Policy Manual and *Code* & ECU Faculty Manual Updates

AY 2024-2025

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Overview





ECU Faculty Senate

UNC Policy Manual and Code

UNC policy manual and Code supersedes all campus documents.

Chapter 100 - The Code and Policies of the University

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100.1 - The Code
 CHAPTER I - Establishment, Incorporation, and Composition of the University of North Carolina 🚨 🗟
 CHAPTER II - The Board of Governors 🚨 🖓
 CHAPTER III - Committees of the Board of Governors [3]
 CHAPTER IV - Boards of Trustees A A
 CHAPTER V - Officers of the University A
 CHAPTER VI - Academic Freedom and Tenure [3] [4]
 CHAPTER VII - Finances, Property, and Obligations 🚨 🖓
 CHAPTER VIII - Matters Involving Nonpublic Institutions 🚨 👪
 CHAPTER IX - Miscellaneous Provisions 🚨 🖓
 Appendix 1 - The Code Appendix 1 🚨 👪
100.2 - Adoption of the UNC Policy Manual, Promulgation of Policies, and Use of Reporting Requirements 🚨 🗟
100.3 - Policy on Waivers from University Policies, Regulations, and Guidelines 🚨 🗟
100.4 - Policy on Review of Umstead Act Exceptions [3]
101.3 - Appellate Review
 101.3.1 - Policy on Regulations and Guidelines Implementing Chapter VI of The Code 🚨 🗟
   101.3.1.1[R] - Regulation on Disciplinary Discharge, Suspension, or Demotion Under Section 603 of The Code 🚨 🐱
   101.3.1.2[R] - Regulation on Non-Reappointment, Denial of Tenure, and Denial of Promotion Under Section 604 of The Code 🚨 🖢 🛑
   101.3.1.3[R] - Regulation on Grievances Filed Pursuant to Section 607 of The Code 🚨 🖢 🛑
300.7 - Retirement
  300.7.1 - Optional Retirement Program 🖾 🖾
   300.7.2 - The University of North Carolina Phased Retirement Program 🖟 🖟
  300.7.5[R] - Regulation on Faculty Realignment Incentive Program (FRIP) [2] [3]
300.8 - Other Personnel Policies
  300.8.1 - Selective Service Registration of Applicants for Employment 🖾 🚨
  300.8.3[R] - Regulation on Institutional Occupational Safety and Health Programs 🚨 🗟
  300.8.4[R] - Regulation on Reporting Misuse of State Property by State Employees [A] [A]
   300.8.5 - Equality Within the University of North Carolina []
  300.8.6[R] - Regulation on Flexible Work Arrangement and Remote Work [A]
  300.8.7[R] - Regulation on Pre-Employment Background Checks and Applicant Salary History 🚨 🗟
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300.8.8[R] - Regulation on Calculating Work Hours for Adjunct Faculty Health Insurance Eligibility 🖟 🚨

Chapter 400 - Academic Programs

400.1 - Policy on Academic Program Planning 🚨 陆 🖊
400.1.1[R] - Regulation for Academic Program Planning and Evaluation 🛭 🖟
400.1.2 - Termination of Programs 🖟 🖟
400.1.2.1[R] - Regulation on Terminating Programs 🚨 🖟
400.1.2[R] - Regulation for New Campuses, Branch Campuses, and Other Off-Site Educational Use of Facilities (
400.1.5 - Policy on Fostering Undergraduate Student Success 🚨 🖨 👅
400.1.5.1[R] - Regulation on the University of North Carolina Common Numbering System 🛭 🖟
400.1.5.2[R] - Fifteen-Hour Average Courseload Requirement for Full-Time Undergraduates 🛭 🕒
400.1.5[R] - Regulation Related to Fostering Undergraduate Student Success 🛭 🖟
400.1.5[G] - Guideline Related to Fostering Undergraduate Student Success 🚨 🗟
400.1.6 - Policy on the University of North Carolina Academic Calendar and Credit Requirements 🛭 🖟
400.1.7 - Nursing Education 🚨 🗟 ←
400.3 - Tenure and Teaching in the University
400.3.1 - Teaching Effectiveness in the University of North Carolina 🚨 🖟
400.3.1.1[R] - Regulation on Teaching Effectiveness in the University of North Carolina ᠘ ຝ →
400.3.2 - The Tenure Regulations of the Constituent Institutions 🚨 🚨
400.3.3 - Performance Review of Tenured Faculty (Post-Tenure Review) 🚨 🗟 💳
400.3.3.1[R] - Regulation on Performance Review of Tenured Faculty (Post-Tenure Review) 🚨 🗟 💳
400.3.4 - Policy on Faculty Workload 🚨 🗟 🛑
400.3.4[R] - Regulation on Faculty Workload 🚨 🗟 🛑
Chapter 700 - Admission, Matriculation, and Other Student Matters
700.1 - Undergraduate Admission
700.1.1 - Policy on Minimum Eligibility Requirements for Undergraduate Admission for the University of North Carolina System 🚨 🔒
700.1.1.1[R] - Regulation on Minimum Fligibility Requirements for Undergraduate Admission for the University of North Carolina System 🖟 🦾



"Shared governance in an academic setting is a fragile balancing act that takes place between the administration of the university and its faculty. It is the attempt by the administration and the faculty to solve problems and implement policies in a manner that benefits all the constituencies of the university."

700.1.1.2[R] - Regulation on Transfer Student Admission [A] [A]

ECU Faculty Manual

Part II: Faculty Constitution & By-Laws* Approved by Faculty Senate & Chancellor's Office

Part IV: Academic Units, Codes, and Seven Year Program Evaluation

Section I: Academic Code Units* Approved by Faculty Senate & Chancellor's Office

Section II: Unit Faculty Workload Guidelines* New sub-section added March 2024 approved by Faculty Senate pending Chancellor's Office approval

Part V: Academic Freedom, Professional Ethics, Diversity, Equity and Inclusion

Section II: Statement on Professional Ethics* Approved by Faculty Senate & Chancellor's Office

Part VI: Teaching and Curriculum Regulations, Procedures, and Academic Program Development

Section II: Academic Integrity* Approved by Faculty Senate April 2024 & Approved by Chancellor's Office August 2024

Part VIII: Personnel Policies and Procedures for Faculty

Section I: Personnel Policies & Procedures for the Faculty of East Carolina University* Approved by Faculty Senate March & April 2024 pending Chancellor's Office approval

Part IX: Appointment, Tenure, Promotion, and Advancement Policies and Procedures and Performance Review of Tenured Faculty

Section I: Appointment, Tenure, Promotion, & Advancement Policies and Procedures* Approved by Faculty Senate April 2024 pending Chancellor's Office approval

Section II: Performance Review of Tenured Faculty* Approved by Faculty Senate March 2024 pending Chancellor's Office approval

Part X: Personnel Action Dossier and Tenure and Promotion Schedule

Section I: Personnel Action Dossier* Approved by Faculty Senate & Chancellor's Office

Section I: Personnel Action Dossier--Revised to add Advancement in Title* Approved by Faculty Senate April 2024 pending Chancellor's Office approval

Section II: Documentation and Schedule for Personnel Actions* Approved by Faculty Senate April 2024 pending Chancellor's Office approval

Part XI: General Faculty Employment Guidelines and Benefits

Section IV: Equal Employment Opportunity/Affirmative Action Policy* Approved by Faculty Senate & Chancellor's Office

Part XII: Faculty Appellate Provisions

Section I: General Appellate Procedures* Approved by Faculty Senate November 2023 pending Chancellor's Office approval

Sections II-VII: Grievance Procedures* Approved by Faculty Senate November 2023 pending Chancellor's Office approval



Academic Unit Codes of Operations

- Guidelines for Writing and Revising a Unit Code of Operation (PDF)
- Guidelines for Organizing into Code Units (PDF)
- Academic Unit Standards for Performance Review of Tenured Faculty (PDF)

NOTICE:

The UNC Board of Governors and UNC System President have recently promulgated a variety of updates to UNC System policies, regulations, and guidelines ("UNC policies"). In the event of a conflict between an ECU academic unit code of operation (or associated guidelines) and UNC policies, UNC policies shall control. Unit codes should stay on the same cycle of 5-year reviews. For questions or inquiries, please contact Dr. Anne Ticknor, Chair of the Faculty.



Search

Colleges/Schools/Units \$: Code \$	PTR Standards \$
Academic Library Services	Academic Library Services Unit Code 03-15-2021 (PDF)	Academic Library Services PTR Standards 01-23-2018 (PDF)
Allied Health Sciences	Allied Health Sciences Unit Code 06-14-2022 (provisional) (PDF)	
Addictions and Rehabilitation Studies		Addictions and Rehabilitation Studies PTR Standards 01- 22-2018 (PDF)
Clinical Laboratory Science		Clinical Laboratory Science PTR Standards 05-02-2013 (PDF)
Communication Sciences and Disorders		Communication Sciences and Disorders PTR Standards 05-02-2013 (PDF)
Health Services and Information Management		Health Services and Information Management PTR Standards 07-26-2013 (PDF)
Nutrition Science		Nutrition Science PTR Standards 09-30-2015 (PDF)
Occupational Therapy		Occupational Therapy PTR Standards 05-02-2013 (PDF)
Physical Therapy		Physical Therapy PTR Standards 05-02-2013 (PDF)
Physician Assistant Studies		
Arts and Sciences		
Anthropology	Anthropology Unit Code 12-13-2018 (PDF)	Anthropology PTR Standards 01-23-2018 (PDF)
Biology	Biology Unit Code 06-21-2017 (PDF)	Biology PTR Standards 01-23-2018 (PDF)



Policy on Faculty Workload

As teaching and instruction are the primary mission of the constituent institutions, teaching shall serve as the first component of determining faculty workload expectations. In general, a teaching load of 24 credit hours (or equivalent contact hours) per academic year, along with routinely expected faculty duties such as advising, committee work, and professional development together constitute a full workload and a 1.0 FTE appointment. Faculty members holding additional responsibilities for research/creative activities and service as identified in their annual work plan can have their teaching workload adjusted on a commensurate basis.

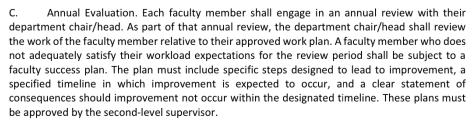
Differential teaching loads may be authorized in recognition of differing individual circumstances including student success considerations, course level (bachelors, master's, doctoral), course pedagogies, programmatic accreditation requirements, team-taught courses, research productivity, time bought out by external grants, significant administrative or service assignments, significant advising responsibilities, or other activities aligned with the institution's mission and/or critical to student success as provided for in this policy and identified in the faculty member's annual work plan.

B. Annual Work Plan. Each faculty member shall work with their dean or department chair to develop a work plan for the upcoming academic year, in alignment with the institution's workload expectations and the needs of the academic department, college/school, or institution.

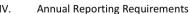




Institutional policies shall provide for the definition of the academic year, with both 9- and 12-month options available. The work plan shall identify the specific outputs and efforts a faculty member is expected to complete in the next academic year, recognizing that those items may be part of long-term or multi-year initiatives. The specific goals of the work plan should build towards and align with the expectations of the next summative/comprehensive review that a faculty member undergoes (e.g., reappointment, promotion, tenure, post-tenure review). The work plan shall include expectations for teaching, research/creative activity, and service via percentage time allocations that equal the faculty member's FTE status.







A. Overview and Timing. Each constituent institution shall compile an annual report of the previous year's faculty activity to be presented to and approved by the board of trustees each year. The report shall cover an academic/fiscal year basis (July 1 – June 30) and must be approved by the board of trustees no later than September 30 each year, with a copy submitted to the president by October 15.



B. Requirements. The annual report shall include quantitative information on faculty workload such as organized course sections taught, student credit hours produced, faculty contact hours, measures of research/creative activity, and service rendered in the previous academic year. The annual report shall include an analysis of faculty FTE allocations by teaching, research/creative activity, and service at the department, school/college, and institutional level. The annual report shall also include information regarding the process by which the institution implemented the provisions of their policy and evaluated individual faculty workloads relative to



Regulation on Faculty Workload

Institutions shall develop faculty workload policies consistent with this regulation, with UNC Policy 400.3.4, and with all other applicable statutory and regulatory authorities.

- A. Institutional faculty workload policies shall include processes for training all personnel who develop and review faculty annual workload plans. Institutional Provosts shall ensure that all such personnel receive that training and that such training is aligned with guidance provided by the System Office.
- B. Institutional workload policies must (1) establish ordinary percentages for faculty workload in areas such as teaching, research/creative activity, and service for each academic unit and for each faculty appointment type which together constitute the 1.0 FTE in a manner consistent with the missions of the institution and the academic unit; (2) identify with reasonable particularity guidelines under which deviations in the ordinary percentages for a given academic unit may be approved.



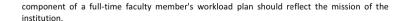
- A. Requirements for Annual Workload Plans
 - a. Applicability. Faculty members who are appointed for longer than one year and who are full time must have an annual workload plan, including faculty that also serve in administrative roles. Faculty members who are classified as Senior Academic and Administrative Officers (SAAO) or are in another leave-earning position shall not have an annual workload plan. Temporary faculty members, faculty members who are appointed for one year or less, and faculty members who are less than full-time may have an annual workload plan if directed by the institution.
 - b. Assigning duties. Each faculty annual workload plan shall account for one FTE by assigning duties to areas typically associated with faculty workload including teaching, research/creative activity, and service.
 - Institutions do not have to assign greater than zero percent in each category. Assigned percentages, when added together, must total one-hundred percent.
 - ii. Descriptions which support institutional missions must be included in institutional faculty workload policies. Some activities may be reasonably included in different categories, and in such cases, institutions shall assign the activity to a category in accordance with the institutional mission.
 - iii. Workload categories for faculty classified as extension faculty at North Carolina State University and North Carolina A & T State University or clinical faculty at East Carolina University and the University of North Carolina at Chapel Hill shall be determined by the institution, subject to the approval of the president or designee.
- B. Outputs. Faculty annual workload plans shall include the specific outputs and efforts a faculty member is expected to complete in the next academic year, with a clear linkage towards long-term evaluation (e.g., reappointment, promotion, tenure, post-tenure review). Each







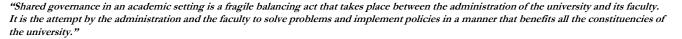




- C. Approvals. Each faculty member's workload plan shall be developed in consultation with and approved by the academic unit head and by that academic unit's head's supervisor or designee.
- V. Reporting Requirements.
 - A. Institutional annual reports will contain:
 - a. For each academic unit, the percentage of faculty efforts across three categories: teaching, research/creative activity, and service. When taken together, the percentages for all categories must total one-hundred percent. For faculty defined in section IV.b.iii, the categories shall by the those determined by the institution and approved by the president or designee.
 - b. For each academic unit, organized course sections taught, student credit hours produced, and faculty contact hours.
 - c. For each academic unit, those measures of research/creative activity and service that the institution shall define according to its mission.
 - d. Information regarding the process by which the institution implemented the provisions of their policy and evaluated individual faculty workloads relative to the standards therein.
 - e. Other quantitative or qualitative information that the institution determines provides additional context for faculty impact and productivity in the various realms of faculty workload in accordance with the institution's mission.
- B. Each institution shall submit an annual report in the format prescribed by the President to its Board of Trustees to be approved by September 30th following the academic year. After being accepted by its Board of Trustees, each institution shall submit its annual report to the System Office President by October 15th following the academic year.
- C. The System Office shall report on implementation and outcomes of the policy and regulation on faculty workload to the Board of Governors by January 2025 and each January thereafter.
- VI. Implementation timeline. The timeline for development of institutional policy and annual workload plans in place for Academic Year 2024-25 and annually thereafter is as follows:
 - a. All faculty workload institutional policies must be approved by institutional Boards of Trustees by June 30, 2024.
 - b. Each faculty required to have an annual workload plan under this Regulation shall have an initial approved workload plan by January 1, 2025.







Key Definitions

Faculty workload: Each full-time faculty member shall engage in approved work that totals to 1.0 Full-Time Equivalency (FTE).

Faculty work plan: Full-time faculty members who are appointed for longer than one year including those serving in administrative roles, will develop an annual work plan. The work plan should detail the assigned duties in teaching, research/creative activity, service, administrative, and/or patient care and related clinical responsibilities, as appropriate for the coming academic year. The work plan is developed in collaboration between the faculty member and the unit administrator.

Faculty workload guidelines: The process for determining the relative weight given to teaching, service, and where appropriate, research/creative activity and/or patient care and clinical duties shall be contained in unit Faculty Workload Guidelines (see *East Carolina University Faculty Manual*, Part VIII, Section I).

Faculty self-assessment: Required for teaching part of annual evaluation and in post-tenure review. Self-assessment must include a summative review of the faculty member's teaching (for annual evaluation)/responsibilities (for post-tenure review) during the period under review and shall identify future formative strategies to continue growth (see *East Carolina University Faculty Manual*, Part VIII, Section I).

Faculty success plan: Required after annual evaluation and post-tenure review for each faculty member who does not meet expectations (see *East Carolina University Faculty Manual*, Part VIII, Section I).

Peer assessment: Required for teaching part of annual evaluation. Ways to conduct peer assessment: formal peer observation, documented review of teaching portfolios, documented discussions regarding pedagogical goals and methods, other peer assessment enabled by the unit code (see *East Carolina University Faculty Manual*, Part VIII, Section I).



ECU POL02.07.06

Faculty Workload

ersion 7 (Curre	rsion 7 (Current Version)				
II Versions: Version 1 Version Current Version	ersion 2 Version 3 Version 4 Version 5 Version 6				
Policy	POL02.07.06				
Title	Faculty Workload				
Category	Academic Affairs				
Sub-category	Accreditation, Assessment and Other Academic Matters				
Authority	Board of Trustees				
History	June 8, 2011, to be effective July 1, 2011; Revised September 25, 2014; Second Revised Interim Effective December 1, 2014; transitioned from Interim to Permanent July 31, 2015; Revised version adopted September 18, 2015 in Interim form to become permanent pending UNG General Administration approval, Interim version made permanent November 30, 2015. Transitioned to policy and approved by BOT June 18, 2024. UNC System approval granted August 6, 2024.				
Contact	Provost and Senior Vice Chancellor for Academic Affairs (or Provost's delegate) (252-328-5419)				
Related Policies	ECU Faculty Manual UNC Policy Manual, 300.2.6[G] (Guidelines on Reassigned Time for Faculty) UNC Policy Manual, 400.3.1.1 [G] (Guidelines on Tenure and Teaching in the University of North Carolina) UNC Policy Manual, 400.3.4 (Monitoring Faculty Teaching Workloads) UNC Policy Manual, 400.3.4 [R] (Regulation on Faculty Workload) UNC Policy Manual, 700.6 t[R] (Academic Integrity Regulations) ECU Supplemental Pay for EHRA Employees Policy ECU Faculty Scholarty Reassignment Regulation				
Additional References					

1. Purpos

The mission of East Carolina University (ECU) is to be a national model in student success, public service, and regional transformation. As the heart of our institution, our faculty contribute to this mission through an array of work activities. At East Carolina University this work, while widely varied, generally falls into the categories of teaching, research/creative activity, service, and patient care and related duties.

The purpose of this policy is to provide a framework for the institution and constituent units to define faculty workloads in a way that is comprehensive, transparent, accountable, equitable, and in compilance with the Policy on Faculty Workload, The UNC Policy Manual 40.3.4, and its implementing regulation 400.3.4(R). This policy establishes the general standards for ordinary percentages for ECU faculty workload in the areas of teaching, research/creative activity, and service, for each academic unit and for each faculty appointment type in a manner consistent with ECU's mission and the needs of the academic unit. In addition, this policy directs that personnel developing and reviewing faculty workload plans receive annual training aligned with UNC System Office guidance, as directed by the Provost and Senior Vice Chancellor for Academic Affairs.

2. Scope and Definitions

2.1 Employees covered under this regulation are faculty appointed to carry out responsibilities such as instruction, research/creative activity, service, patient care and related clinical responsibilities, and/or administrative duties. This policy applies to all faculty, including tenured, tenure-track, and fixed-term faculty.

Tenure track faculty members and full-lime faculty members who are appointed for longer than one year must have an annual workload plan. One year, as used in this policy, equates to 9 months for 9-month faculty and 12months for 12-month faculty. A fixed term faculty member with consecutive one year contracts must have an annual workload plan after the conclusion of the first one year contract. For faculty members who are appointed for one year or less, or who are less than full-lime, workload plans are ordinarily not required, but a workplan may be implemented at the discretion of the appropriate administrator. Faculty with a joint appointment with an administrative role must also have a workload plan.



Key Sections of the Faculty Manual

Part IV, Section II - Faculty Workload Guidelines* New sub-section added March 2024

Part VIII, Section I - Personnel Policies and Procedures for the Faculty of ECU* Revised March & April 2024

Part IX, Section I - Appointment, Tenure, Promotion, and Advancement Policies and Procedures*
Revised April 2024

Part IX, Section II - Performance Review of Tenured Faculty* Revised March 2024

Part X, Section I

- O Personnel Action Dossier* Revised October 2023 & April 2024
- Cumulative Report* Revised April 2024

Part X, Section II - Schedule for Personnel Actions* Revised April 2024

Part XII - Faculty Appellate Provisions* Revised November 2023

- Section I: General Appellate Procedures* Approved by Faculty Senate November 2023 pending Chancellor's Office approval
- Sections II-VII: Grievance Procedures* Approved by Faculty Senate November 2023 pending Chancellor's Office approval



Faculty Workload Key Changes for AY 24-25

- 1. Academic Units Create Workload Guidelines
- 2. Workload, Work Plans, Faculty Success Plans, & Annual Evaluation all Housed in Faculty 180
- 3. Work Plans for Full-time Faculty (January 1, 2025)
- Annual Evaluation moves to Exceeds Expectations, Meets Expectations, or Does Not Meet Expectations
- 5. Annual Evaluation Timeline is Formalized in Part X
- 6. Faculty Success Plans for Not Meeting Expectations
- 7. Institutional Reports for Workload
- Workload is Annually Reported to ECU Board of Trustees & UNC Board of Governors



Annual Reporting for Each Academic Unit

from the UNC Regulation

• For each academic unit, the percentage of faculty efforts across categories: teaching, research/creative activity, service, and clinical. When taken together, the percentages for all categories must total one-hundred percent.

 For each academic unit, organized course sections taught, student credit hours produced, and faculty contact hours.

 For each academic unit, those measures of research/creative activity and service that the institution shall define according to its mission.



Annual Reporting Requirements for the Institution

from the UNC Regulation

- Information regarding the process by which the institution implemented the provisions of their policy and evaluated individual faculty workloads relative to the standards therein.
- Other quantitative or qualitative information that the institution determines provides additional context for faculty impact and productivity in the various realms of faculty workload in accordance with the institution's mission.
- Each institution shall submit an annual report in the format prescribed by the President to its Board of Trustees to be approved by September 30th following the academic year. After being accepted by its Board of Trustees, each institution shall submit its annual report to the System Office President by October 15th following the academic year.
- The System Office shall report on implementation and outcomes of the policy and regulation on faculty workload to the Board of Governors by January 2025 and each January thereafter.



Part IV: Workload Guidelines

Each academic unit
will establish and
maintain workload
guidelines. The
guidelines shall be
developed, approved,
referenced in the unit
code, and revised
according to Part
IV.II.V of the East
Carolina University
Faculty Manual.

Include an example work plan for each faculty appointment type (probationary term, permanently tenured, or fixed-term) according to their discipline and reflective of the missions of the university and academic unit;

Establish ordinary percentages for teaching, research/creative activity, service, administrative, and/or patient care and related clinical responsibilities to constitute 1.0 FTE in a manner consistent with the mission of the university and the academic unit;

Identify with reasonable particularity guidelines under which deviations in the ordinary percentages for a given academic unit may be approved;

Provide qualitative and quantitative examples of efforts, including reasonable measures of outputs (i.e., organized course sections taught, student credit hours produced, research/creative activity productivity, etc.) connected to facilitation of career progression (i.e., reappointment, promotion, tenure, post-tenure review, advancement in title).



Suggestions for Guideline Creation

- Review what faculty are already doing in the areas of teaching, research/creative activity, service, and clinical (consider the last 2 years)
 - Are there any other activities that could fall under one of the above categories?
 - What are faculty doing in the area of administration?
- Consider what efforts and outputs are typical in your discipline/unit for teaching, research/creative activities, service, and clinical
- Consider what currently qualifies for a deviation in a faculty member's teaching load
- Form a faculty group to lead the efforts to establish workload guidelines for faculty to approve in fall



Faculty Employment

Tenure-Track Faculty

Tenure and Promotion in rank

- Responsibilities in all areas:
 - Teaching and
 - Research and
 - Service and
 - Patient Care and Related Clinical Responsibilities (if appropriate)
- Work Plan & Annual Evaluation of Work Plan

Fixed Term

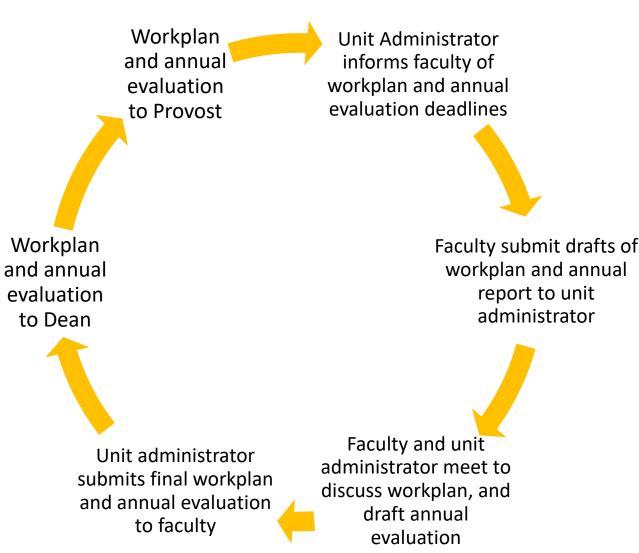
Subsequent appointment and advancement in title

- Narrower areas of responsibility:
 - Teaching (and Service) or
 - Research (and Service) or
 - Patient Care and Related Clinical Responsibilities (and Service)
- May be subject to a Work Plan
- Annual Evaluation of Workload



Part X: Work Plan and Annual Evaluation

For faculty members
who do not meet
expectations as
outlined in their
annual faculty work
plan, documentation in
the form of a Faculty
Success Plan will be
included as a part of
their annual
evaluation.



Although not required, it is recommended that the faculty member and the unit administrator check with each other for progress of workplan throughout the academic year.



Part X: Workplan and Annual Evaluation

Timeline (DRAFT)

I. Workplan and Annual Evaluation

The timelines designated in this schedule are the normal review cycles for the stated personnel actions. The Provost (or designee) may approve an adjustment to these timelines when compelling circumstances, as determined by the Provost (or designee), justify a temporary revision.

The Provost Office will conduct review of workplans and annual evaluations during the summer. If edits are suggested by the Provost Office, they will be discussed with appropriate parties at the beginning of the next academic year.

Although not required, it is recommended that the faculty member and the unit administrator check with each other for progress of workplan throughout the academic year.

Units may set internal deadlines, no later than the deadlines specified here.

In the event that a deadline falls on a weekend or university holiday, items shall be due on the next business day.

Action	9 Month Faculty Deadline	12 Month Faculty Deadline	Time Allotted for Decision		
Unit Administrator informs faculty of workplan and annual evaluation deadlines	January 10	May 14			
Faculty submit drafts of workplan and annual report to unit administrator	April 1	May 28			
Faculty and unit administrator meet to discuss workplan and annual evaluation in draft form.	April 20	June 13	At least 2 weeks		
Unit administrator submits final workplan and annual evaluation to faculty	April 26	June 15	At least 2 days		
Workplan and annual evaluation to Dean	May 8	June 30	At least 1 week		
Workplan and annual evaluation to Provost	May 30	July 15	At least 2 weeks		



Part VIII: Annual Evaluation

- The annual evaluation shall be a review of the faculty member's previous work plan if one is required. The annual evaluation shall include rankings and summarize the faculty member's performance in each category of responsibility as "exceeds expectations," "meets expectations," or "does not meet expectations," and shall conclude with an overall ranking.
 - Full-time (1.0 FTE) faculty members who are appointed for longer than one year including those serving in administrative roles, will develop an **annual work plan** for the coming academic year in collaboration with their unit administrator. For purposes of this process, the unit administrator is the department chair or director.
- This annual evaluation shall:
 - be in writing;
 - be discussed with the faculty member prior to being sent to any other administrator or placed in the faculty member's personnel file; in the case of faculty members with probationary term appointments, a record of this discussion shall be placed in the faculty member's personnel file;
 - be signed and dated by the unit administrator and the faculty member, who may attach to the evaluation a
 concise comment regarding the evaluation. The faculty member has seven working days after receiving the
 evaluation to attach the statement. The signature of the faculty member signifies that they have read the
 evaluation, but it does not necessarily indicate concurrence.
 - The unit administrator shall forward to each faculty member a copy of that member's annual evaluation within ten calendar days of completing the evaluations of unit members.



Part VIII: Faculty Success Plan

- For faculty members who do not meet expectations as outlined in their annual faculty work plan, documentation in the form of a Faculty Success Plan will be included as a part of their annual evaluation. This Faculty Success Plan will be created by the unit administrator in collaboration with the faculty member and will:
 - be in writing;
 - include specific steps designed to lead to improvement;
 - include a specific timeline in which improvement is expected to occur;
 - a clear statement of consequences if steps are not met within specified timeline;
 - peer mentoring is encouraged as part of the faculty success plan;
 - progress meetings with the department chair/academic unit head shall occur on at least a semi-annual basis during the specified timeline.
- If a faculty member does not agree to the implementation of a faculty success plan, they may appeal in accordance with the provisions of the grievance procedure of Part XII, Section I, as appropriate.



Faculty 180 for Personnel Actions



Faculty180: Faculty Reporting System

Faculty 180 is a tool for reporting activities of faculty, departments, colleges and the university as a whole. It will facilitate tenure and promotion and annual performance review processes. System capabilities include:

- · Importing faculty publication records from various bibliographic sources such as SCOPUS or PubMed
- Assisting departments in standardizing information such as journal titles, for example, and eliminating redundancies
- · Facilitating management of data at various levels-individual, department, school, college and university
- · Providing customized CV templates and reports
- · Managing review processes electronically

Review Processes Scheduled for Faculty180 Reviews

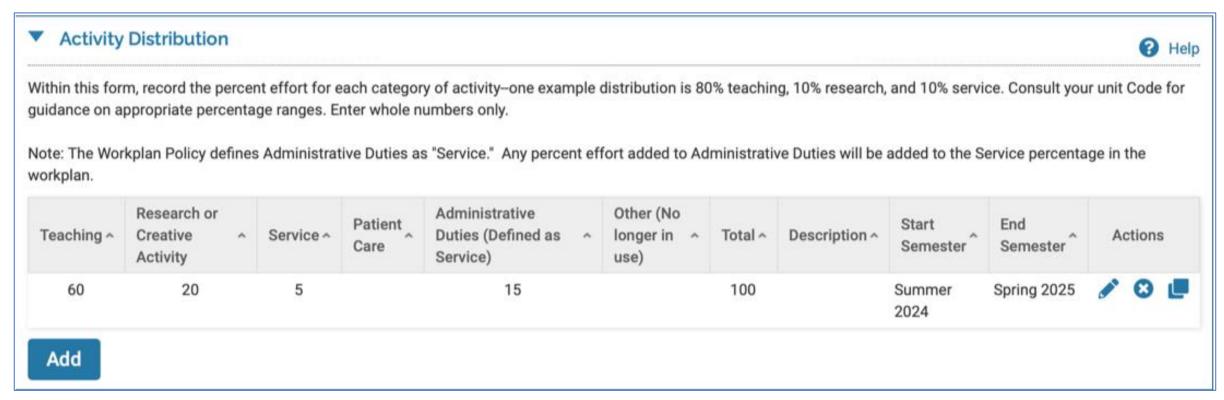








Faculty 180: Workload



Link to Activity Distribution/Workplan Weights User Guide



Faculty 180: Work Plan

▼ Faculty Annual Goals and Objectives



Use this section to enter goals agreed upon with your supervisor. Goals can be aligned with teaching, research or creative activity, service, patient care, or other activities. Create a new entry for each goal. Full-time (1.0 FTE) faculty members who are appointed for longer than one year, including those serving in administrative roles, are required to complete this section.

Note: The Workplan Policy defines Administrative Duties as "Service." Any goals added as Administrative Duties will be combined with the Service goals in the workplan.

Annual Goal Type	Goal Title ^	Goal Description and Measures	List the strategic goal that aligns with this annual faculty goal	Progress ^	Start Semester ^	End Semester Î	Actions		S
Administrative Duties	Administrative Duty Goal	Administrative duty description.			Summer 2024	Spring 2025		8	
Teaching	Teaching Goal	Teaching goal description.			Summer 2024	Spring 2025		8	
Service	Service Goal	Service goal description.			Summer 2024	Spring 2025		8	
Research or Creative Activity	Research Goal	Research goal description.			Summer 2024	Spring 2025		8	

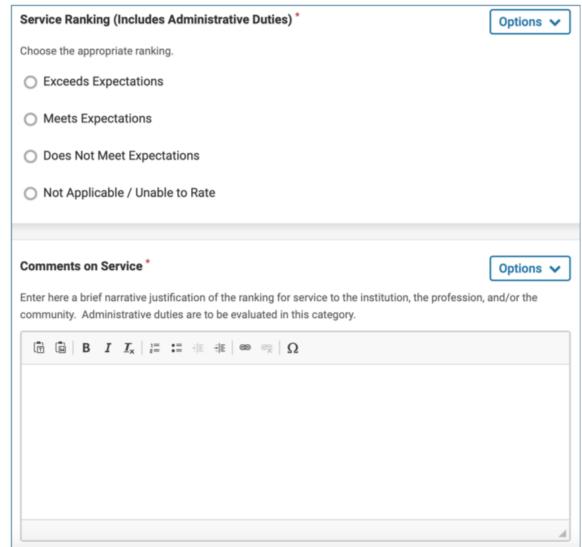
Link to Workplan Goals and Objectives User Guide



Add

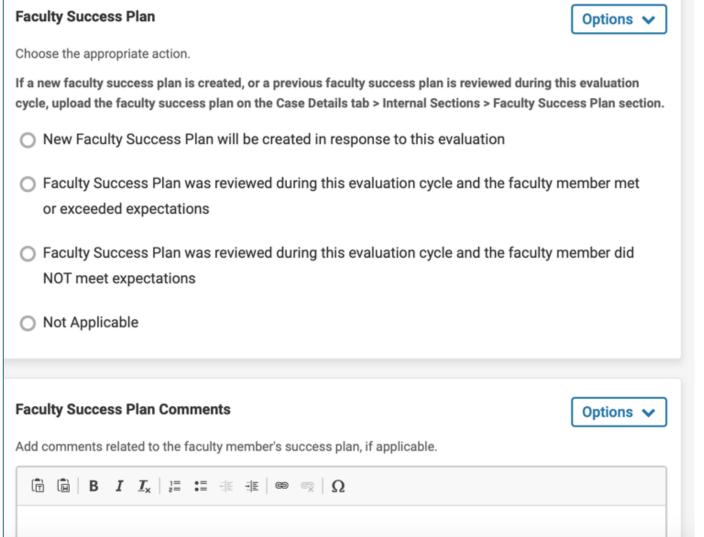
Faculty 180: Annual Evaluation for Service

Preview of the 2024-2025
Evaluation Form



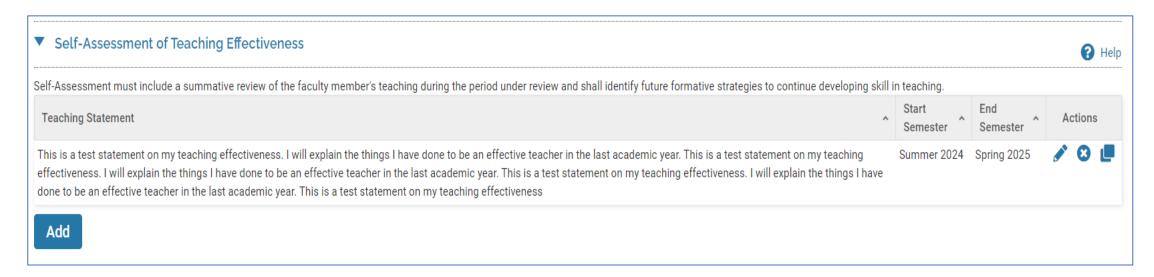


Faculty 180: Faculty Success Plan





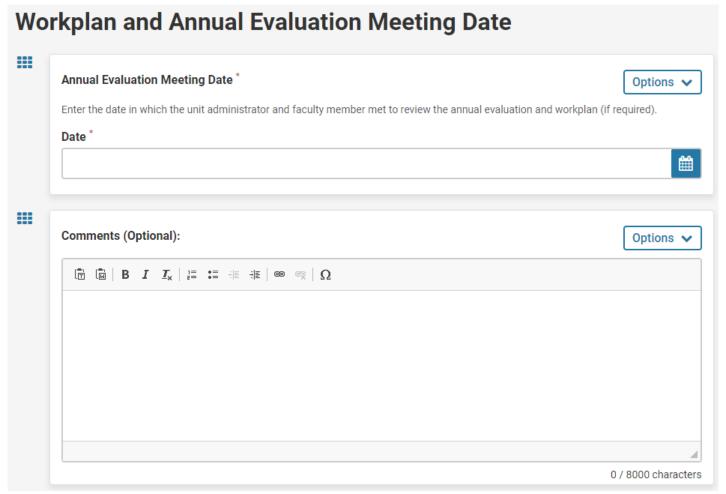
Faculty 180: Self-Assessment of Teaching Effectiveness



Link to Self-Assessment of Teaching Effectiveness User Guide



Faculty 180: Annual Evaluation Meeting



How to Review Workplans Mid-Year



Post-Tenure Review Key Changes for AY 24-25

- No Block Schedule. Units will keep individual timelines for their tenured faculty. 1.
- Process begins with the Peer Review Committee.
 - Sub-set of Tenure Committee with at least 3 members & 1 alternate.
 - Faculty should hold no administrative duties.
- 3. Faculty Member under review may not select Peer Review Committee members
- 4. Candidate may request an external reviewer if no expert in their area is in the unit.
- Review is focused on annual reports and annual evaluations, annual and long-term work plans, and any faculty success plans during the time period under review. 5.
- Self-assessment of 5-year work plan included in review. 6.
- Forms A-C used for the review are housed in the ECU Faculty Manual Part IX.
- Dean prepares their own performance review of the faculty member under review after the unit administrators 8. completes their review.
- 9. Post Tenure Review Timeline is updated and in Part X (pending Chancellor's Office approval)
- A faculty member whose review results in an overall rating of does not meet expectations shall be subject to a faculty success plan.
 - Written in conjunction with Peer Review Committee, the unit administrator, in consultation with the dean.
 - Faculty progress shall be reviewed in a meeting that occurs at least twice each academic year with the Peer Review Committee and the unit administrator.
- A review undertaken as part of the process for conferring tenure or recommending a faculty member for promotion qualifies as a cumulative performance review.
- Review Process happens via TEAMS. 12.

ECU Faculty Senate



UNC Code Chapter VI Revisions

The UNC Policy Manual The Code 100.1 Technical Corrections 09/22/21 Amended 05/25/23

CHAPTER VI- ACADEMIC FREEDOM AND TENURE

SECTION 600. FREEDOM OF INQUIRY AND RESPONSIBILITY WITHIN THE UNIVERSITY

COMMUNITY.

- (1) The University of North Carolina System is dedicated to the transmission and advancement of knowledge and understanding. Academic freedom is essential to the achievement of these purposes. The University therefore supports and encourages freedom of inquiry for faculty members and students, to the end that they may responsibly pursue these goals through teaching, learning, research, discussion, and publication, free from internal or external restraints that would unreasonably restrict their academic endeavors.
- (2) The University and each constituent institution shall protect faculty and students in their responsible exercise of the freedom to teach, to learn, and otherwise to seek and speak the truth.
- (3) Faculty and students of the University of North Carolina System shall share in the responsibility for maintaining an environment in which academic freedom flourishes and in which the rights of each member of the academic community are respected.

SECTION 601. ACADEMIC FREEDOM AND RESPONSIBILITY OF FACULTY.

- (1) It is the policy of the University of North Carolina System to support and encourage full freedom, within the law, of inquiry, discourse, teaching, research, and publication for all members of the academic staffs of the constituent institutions. Members of the faculty are expected to recognize that accuracy, forthrightness, and dignity befit their association with the University and their position as faculty members. They should not represent themselves, without authorization, as spokespersons for the University of North Carolina System or any of its constituent institutions.
- (2) The University and its constituent institutions shall not penalize or discipline members of its faculties because of the exercise of academic freedom in the lawful pursuit of their respective areas of scholarly and professional interest and responsibility.

SECTION 602. ACADEMIC TENURE; NON-DISCIPLINARY SEPARATION.¹

- (1) To promote and protect the academic freedom of its faculty, the board of trustees of each constituent institution shall adopt policies and regulations governing academic tenure. Policies adopted by a board of trustees regarding academic tenure and promotion shall be effective upon review by the senior vice president for academic affairs and the vice president and general counsel and approved by the president. The chancellor, or their designee, shall review the constituent institution's tenure policies periodically, but at least every five years, and shall report to the president whether or not amendments or revisions are appropriate. The chancellor shall involve the faculty in this review; however, the chancellor shall make the final decision regarding any proposed amendments or revisions.
- (2) In all instances, the tenure conferred on a faculty member is held with reference to employment by a constituent institution, rather than to employment by the University of North



ECU Faculty Senate

SECTION 603. DISCIPLINARY DISCHARGE, SUSPENSION OR DEMOTION⁴.

- (1) A faculty member who is the beneficiary of institutional guarantees of academic tenure shall enjoy protection against unjust and arbitrary application of formal discharge, suspension, or demotion. During the period of such guarantees the faculty member may be discharged from employment, suspended without pay, or demoted in rank for reasons of:
- (a) Incompetence, including significant, sustained unsatisfactory performance after the faculty member has been given an opportunity to remedy such performance and fails to do so within a reasonable time:
- (b) Neglect of duty, including but not limited to, the sustained failure to: meet assigned classes, respond to communications from individuals within the faculty member's supervisory chain, report to their employment assignment and by continuing to be absent for fourteen (14) consecutive calendar days without being excused by their supervisor, or to perform other essential duties of their position or
- (c) Misconduct of such a nature as to indicate that the individual is unfit to continue as a member of the faculty, including violations of professional ethics or engaging in other unethical conduct; violation of university policy or law; mistreatment of students or employees; research misconduct; financial or other fraud; or criminal, or other illegal or inappropriate conduct. To justify formal discharge, suspension, or demotion, such misconduct should be either (i) sufficiently related to a faculty member's responsibilities as to disqualify the individual from effective performance of job duties, or (ii) sufficiently serious as to adversely reflect on the individual's honesty, trustworthiness or fitness to be a faculty member.

Formal discharge, suspension, or demotion may be imposed only in accordance with the procedures prescribed in this section. For impositions of formal discharge, suspension, or demotion under this section of *The Code*, a faculty member serving a stated term shall be regarded as having tenure until the end of that term. These procedures shall not apply to Non-Reappointment, Denial of Tenure, and Denial of Promotion as provided in Section 604, Separation Due to Financial Exigency or Program Curtailment as provided in Section 605, a grievance as provided in Section 607, or any other lesser employment action that is not a formal discharge, suspension, or demotion.

Compelled Speech & Equality Guidance from OUC

Office of University Counsel

About

Public Record Immigration Requests

Contracts

Topics -

Guidance Regarding Equality Policy

University **PRRs**

Policy Against Compelled Speech

In 2023, the UNC Board of Governors passed revisions to the UNC Policy 300.5.1, Political Activities of Employees ("Policy"), which applies to exempt employees and also protects applicants for employment or admission. The UNC System Division of Legal Affairs posted Guidance Regarding Implementation of Policy Against Compelled Speech on March 17, 2023. Also in 2023, the North Carolina General Assembly passed new N.C. Gen. Stat. § 126-14.5 and § 126-14.6, which applies to non-exempt employees, Clinical Support Staff of the Brody School of Medicine, Dental Clinical Operations Support Staff, and employed physicians and dentists.

The effect of the Policy and laws is to protect employees and applicants from being solicited or required to ascribe to or opine about beliefs, affiliations, ideas, or principles regarding matters of contemporary political debate or social action as a condition of admission, employment, or professional advancement, including faculty promotion and tenure processes.

For more information on this topic, please see these Frequently Asked Questions.

Compelled Speech Prohibition

At its February 2023 meeting, the UNC Board of Governors passed revisions to the UNC Policy 300.5.1, Political Activities of Employees (policy). The North Carolina General Assembly also passed, N.C. Gen. Stat. § 126-14.5 (forthcoming). Both the policy and law include prohibitions on compelling speech in academic admissions, employment, and professional advancement, including faculty promotion and tenure processes. The following guidance is provided to assist HR campus partners, hiring officials, and search committees in understanding how to comply with and apply the policy and state law in recruitment, selection, and professional advancement.

As stated in Chancellor Rogers' message of March 27, 2023, in "no way does compliance with the policy diminish our ability to actively engage potential candidates on how they support students; how they create campus environments where all individuals can thrive; and how we shape a university climate that is built around the vigorous exploration and debate of ideas." As evidenced by our new strategic plan, Future focused, Innovation driven, we remain committed to sustaining an inclusive environment and cultivating a culture of care, belonging and opportunity for all faculty, staff, and learners.

What is compelled speech?

The UNC System policy prohibits compelled speech in hiring, admission, or promotion/tenure. University representatives will not solicit or require an applicant, candidate, or faculty member to make statements that require attesting to or affirming beliefs about matters of contemporary political debate or social action as part of these processes.

It is important to note that this policy does not prohibit value statements or expressions made by schools, units or departments regarding our commitment to providing a welcoming and inclusive environment to the diverse populations we serve as long as they do not compel. These statements can remain on websites and other materials as long as they do not compel employees or applicants to attest to or affirm any statements of political belief or social action.

How do I identify compelled speech?

When reviewing the application for a search, avoid any required or supplemental questions that solicit or require the applicant to attest to viewpoints or beliefs, such as a DEI statement or political perspective, or general questions that point the applicant toward attesting to beliefs or a specific point of view in their answer.

Additionally, avoid any questions that could signal to the interviewee or candidate that the questioner prefers a particular answer.

ECU Faculty Senate

Equality Within the University of North Carolina

On May 23, 2024, the Board of Governors repealed and replaced Section 300.8.5 of the UNC Policy Manual to reaffirm the University's commitment to nondiscrimination, equality of opportunity, institutional neutrality, academic freedom, and student success.

On June 28, 2024, the UNC System Division of Legal Affairs issued Guidance Regarding Equality Policy.

For more information on this topic, please see these Frequently Asked Questions

Understanding the UNC System's Equality Policy

What is the new policy approved by the Board of Governors?

The new policy, Section 300.8.5 of the UNC Policy Manual, is titled "Equality Within the University of North Carolina." It replace inclusion policy. The new policy requires all University offices and positions to:

Why was the former policy on diversity and inclusion replaced?

How does the policy affect faculty research and the pursuit of grant funding?

How should units adjust equity or DEI committees under the new policy?

Can faculty and staff participate in self-governing groups or caucuses? What are the conditions?

ECU Faculty Senate

Faculty Senate Academic valendars Umcers Meetings - Committees UNC Faculty Assembly

ECU Faculty Senate

Please join us for Faculty Convocation on Friday, August 16, 2024 at 9:00 AM in Hendrix Theatre. See agenda for details.



ECU maintains a strong, long-standing shared governance structure. The Faculty Senate has been the legislative, advisory and primary faculty governance body for faculty representation at ECU for over 50 years. This body provides the means by which faculty are able to fulfill their responsibilities with respect to academic and educational policies of ECU.

Please feel free to stop by the Faculty Senate office, located at 140 Rawl Annex anytime and/or contact an elected Faculty Officer with any questions or concerns that you may have.

The Faculty Senate represents all faculty of East Carolina University. It is comprised of faculty members elected by the general faculty and UNC Faculty Assembly Delegates and senior administrators who serve as ex-officio members with vote. The functions, duties, and privileges of the faculty and the Faculty Senate are detailed in the ECU Faculty ECU Faculty Constitution (PDF), which is contained in the Faculty Manual (PDF), and exercised under the authority of the University Chancellor.

"Shared governance in an academic setting is a fragile balancing act that takes place between the administration of the university and its faculty. It is the attempt by the administration and the faculty to solve problems and implement policies in a manner that benefits all the constituencies of the university."

(Don Sexauer, Chair of the Faculty, Pieces of Eight, 1998)

Faculty Senate Resources

- · Faculty Manual (PDF)
- Academic Unit Codes of Operation
- · Frequently Asked Questions
- Faculty Senate roster
- Faculty Marshals
- · Parliamentarian links
- · Faculty Counselors
- · University Ombuds

Index of Resolutions

- 1977-2010 Index of Resolutions (PDF)
- 2011-2017 Index of Resolutions (PDF)
- 2018-2021 Index of Resolutions (PDF)
- 2022-present Index of Resolutions (PDF)

Full Text of Resolutions

- 1990-2010 Full Text of Resolutions (PDF)
- 2011-2017 Full Text of Resolutions (PDF)
- 2018-2021 Full Text of Resolutions (PDF)
- <u>2022-present Full Text of Resolutions</u> (PDF)



Rachel Baker bakerr@ecu.edu

We acknowledge the Tuscarora people, who are the traditional custodians of the land on which we work and live, and recognize their continuing connection to the land, water, and air that Greenville consumes. We pay respect to the eight state-recognized tribes of North Carolina; Coharie, Eastern Band of Cherokee, Haliwa-Saponi, Lumbee, Meherrin, Occaneechi Band of Saponi, Sappony, and Waccamaw-Siouan, all Nations, and their elders past, present, and emerging.



ECU Faculty Senate

